



PATENTS  
AAA-002B

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : William K. Slate II et al.  
Application No.: 09/990,402 Confirmation No.: 3669  
Filed : November 21, 2001  
For : ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE  
MANAGEMENT  
Group Art Unit : 2161  
Examiner : Not yet assigned  
Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicants  
hereby make the following documents of record in the above-  
identified patent application:

U.S. Patent Documents

5,956,687	Wamsley et al.	09/21/99
2001/0044729 A1	Pomerance	11/22/01
2002/0007362 A1	Collins et al.	01/17/02

Other Documents

Abhijit Chaudhury et al., "What Can Computer Programs Do To  
Facilitate Negotiation Processes?" ACM Press, 1991,  
pp. 269-284.

Yannis Bakos, "The Emerging Role of Electronic Marketplaces On The Internet," ACM Press, August 1997, pp. 35-42.

Alan Wiener, "Opportunity and Initiatives In Online Dispute Resolution," SPIDR News, Society of Professionals in Dipute Resolution, Vol. 24, No. 3, Summer 2000, <<http://www.mediate.com/articles/awiener1.cfm>> (printed April 1, 2002).

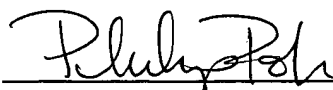
These documents are also listed on the attached Form PTO-1449 (submitted in duplicate), and copies of them are enclosed herewith.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application. Applicants request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

Consideration of the foregoing in relation to this  
patent application is respectfully requested.

Respectfully submitted,



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Claire J. Saint-Yves Goodman

  
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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICESUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT BY APPLICANTSATTY. DOCKET NO.  
AAA-002BAPPLICATION NO.  
09/990,402APPLICANT  
William K. Slate II et al.CONFIRMATION NO.  
3669FILING DATE  
November 21, 2001GROUP  
2161

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,956,687	09/21/99	Wamsley	705	1	
	2001/0044729 A1	11/22/01	Pomerance	705	1	
	2002/0007362 A1	01/17/02	Collins et al.	707	5	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
AAA-002BAPPLICATION NO.  
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TRANSMITTAL LETTER FOR SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT

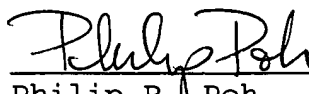
Sir:

Transmitted herewith is a Supplemental Information  
Disclosure Statement in the above-identified patent application.  
This Statement is submitted:

- ☐ within three months of the application filing  
date;
- ☒ more than three months from the application  
filing date but before the mailing date of  
the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.98, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director of the United States Patent and Trademark Office is hereby authorized to charge payment of any fees required in connection with this Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

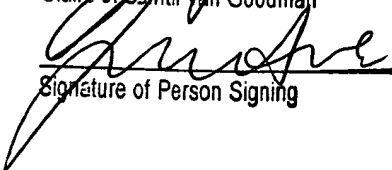
Respectfully submitted,



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Claire J. Santil-van Goodman

  
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